

# Holding of Specified Securities

## Annexure - I

1. Name of Listed Entity: ASTRAL POLY TECHNIK LTD

2. Scrip Code/Name of Scrip/Class of Security 532830

3. Share Holding Pattern Filed under: Reg: 31(1)(a)/Reg: 31(1)(b)/Reg: 31(1)(c) \_\_\_\_\_

a. If under 31(1)(b) then indicate the report for Quarter ending 30-09-2016

b. If under 31(1)(c) then indicate date of allotment/extinguishment \_\_\_\_\_

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?	No	No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No
3. Whether the Listed Entity has any shares against which depository receipts are issued?	No	No
4. Whether the Listed Entity has any shares in locked-in?	Yes	No
5. Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (i)	Category of shareholder (ii)	No.s of Share holders (iii)	No. of fully paid up equity Share held (iv)	No.s of Partly paid-up equity Share held (v)	No. of underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares calculated as per SCRR 1957 (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares of Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital (xi) = (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class Equity	Class Others	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	6	71065860	0	0	71065860	59.345	71065860	0	71065860	59.3450	0	0.0000	0	0.0000	3750000	5.2767	71065860
(B)	Public	14606	48684423	0	0	48684423	40.655	48684423	0	48684423	40.6550	0	0.0000	1385204	2.8453	NA	NA	48671823
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	14612	119750283	0	0	119750283	100.0000	119750283	0	119750283	100.0000	0	100.0000	1385204	1.1567	3750000	3.1315	119737683

Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (i)	No of Share holders (iii)	No of fully paid up equity Shares held (iv)	Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)= (iv)+(v)+(vi)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities as a % of diluted share capital (xi)=(viii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
							Class Equity	Class Others	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
<b>1 Indian</b>																	
<b>(a) Individuals/Hindu undivided Family</b>																	
JAGRUTI SANDEEP ENGINEER	1	9143410	0	0	9143410	7.6334	0	0	0	0	0	0	0	0	0	0	9143410
SANDEEP PRAVINBHAI ENGINEER	1	23671410	0	0	23671410	19.7673	0	0	0	0	0	0	0	0	0	0	23671410
HANSA PRAVINBHAI ENGINEER	1	6670460	0	0	6670460	5.5703	0	0	0	0	0	0	0	0	0	0	6670460
Total	3	39485280	0	0	39485280	32.9730	0	0	0	0	0	0	0	0	0	0	39485280
<b>(b) Central Government/State Government(s)</b>																	
Total	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
<b>(c) Financial Institutions/Banks</b>																	
Total	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
<b>(d) Any Other(BODIES CORPORATE)</b>																	
KARAVY CHEMICALS LIMITED	1	2360280	0	0	2360280	1.9710	0	0	0	0	0	0	0	0	0	0	2360280
SAURVA POLYMERS LLP	2	23264550	0	0	23264550	19.4276	0	0	0	0	0	0	0	0	0	0	23264550
Total	5	65110090	0	0	65110090	54.3716	0	0	0	0	0	0	0	0	0	0	65110090
<b>2 Foreign</b>																	
<b>(a) Individuals(Non-Resident Individuals/Foreign Individuals)</b>																	
Total	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
<b>(b) Government</b>																	
Total	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
<b>(c) Institutions</b>																	
Total	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
<b>(d) Foreign Portfolio Investor</b>																	
Total	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
<b>(e) Any Other(BODIES CORPORATE)</b>																	
SPECIALTY PROCESS LLC	1	5965770	0	0	5965770	4.9735	0	0	0	0	0	0	0	0	0	0	5965770
Total	1	5965770	0	0	5965770	4.9735	0	0	0	0	0	0	0	0	0	0	5965770
Sub-Total (A)(2)	1	5965770	0	0	5965770	4.9735	0	0	0	0	0	0	0	0	0	0	5965770
Total Shareholding of Promoter and Promoter Group (A) = A(1)+A(2)	6	71065860	0	0	71065860	59.3450	0	0	0	0	0	0	0	0	0	0	71065860

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAW would not be displayed on website of Stock Exchanges).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI(Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (i)	No.s of Share holders (iii)	No. of fully paid up equity Share held (iv)	Partly paid-up equity Share held (v)	No.s of shares of underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR 1987 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying convertible securities (including Warrants) (x)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)	As a % of total shares held (b)	Number of equity shares held in dematerialized form (xv)	
							Class Equity x	Class Others y	Total			No. (a)	As a % of total shares held (b)				
1 Institutions																	
(a) Mutual Funds AXIS MUTUAL FUND TRUSTEE LIMITED A/C AXIS MUTUAL FUND A/C AXIS LONG TERM EQUITY FUND	91	5968512	0	0	5968512	4.9841	0	0	0	0	4.9841	0	0	0.0000	NA	NA	5968512
(b) UTTI - BLUECHIP FLEXICAP FUND	1	1493284	0	0	1493284	1.2470	0	0	0	0	1.2470	0	0	0.0000	NA	NA	1493284
(c) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	NA	NA	0
(d) Alternate Investment Funds	1	4142	0	0	4142	0.0035	0	0	0	0	0.0035	0	0	0.0000	NA	NA	4142
(e) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	NA	NA	0
(f) Foreign Portfolio Investors	40	17703455	0	0	17703455	14.7836	0	0	0	0	14.7836	0	0	0.0000	NA	NA	17703455
(g) Foreign Portfolio Investors	3348823	3348823	0	0	3348823	2.7965	0	0	0	0	2.7965	0	0	0.0000	NA	NA	3348823
(h) Foreign Portfolio Investors	3405800	3405800	0	0	3405800	2.8441	0	0	0	0	2.8441	0	0	0.0000	NA	NA	3405800
(i) Foreign Portfolio Investors	2679796	2679796	0	0	2679796	2.2378	0	0	0	0	2.2378	0	0	0.0000	NA	NA	2679796
(j) Foreign Portfolio Investors	4718624	4718624	0	0	4718624	3.9404	0	0	0	0	3.9404	0	0	0.0000	NA	NA	4718624
(k) Financial Institution/Banks	3	16251	0	0	16251	0.0136	0	0	0	0	0.0136	0	0	0.0000	NA	NA	16251
(l) Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	NA	NA	0
(m) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	NA	NA	0
(n) Any Other(FIIS)	19	4570676	0	0	4570676	3.8168	0	0	0	0	3.8168	0	0	0.0000	NA	NA	4570676
(o) Any Other(FIIS)	1	3630000	0	0	3630000	3.0313	0	0	0	0	3.0313	0	0	0.0000	NA	NA	3630000
(p) TREE LINE ASIA MASTER FUND (SINGAPORE) PTE LTD	72	28263036	0	0	28263036	23.6016	0	0	0	0	23.6016	0	0	0.0000	NA	NA	28263036
2 Central / State government(s) (a) Central Government/ State Government(s)/President of India	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	NA	NA	0
(b) SUB-TOTAL (B12)	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	NA	NA	0
3 Non-institutions																	
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	13869	12067482	0	0	12067482	10.0772	0	0	0	0	10.0772	0	0	0.0000	NA	NA	12067482
(a) Individual - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	6	4098253	0	0	4098253	3.4223	0	0	0	0	3.4223	1385204	33.7999	NA	NA	4098253	
MITEN MEHTA	1	1200000	0	0	1200000	1.0021	0	0	0	0	1.0021	0	0.0000	NA	NA	1200000	
VJAY SURESH PARIKH	1	1400433	0	0	1400433	1.1695	0	0	0	0	1.1695	1385204	98.9100	NA	NA	1400433	
(b) NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c) Employee Trusts	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d) Overseas Depositors (holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e) Any Other(BODIES CORPORATE)	441	2727397	0	0	2727397	2.2776	0	0	0	0	2.2776	0	0.0000	NA	NA	2727397	
(e) Any Other(C EARNING MEMBER)	88	58904	0	0	58904	0.0492	0	0	0	0	0.0492	0	0.0000	NA	NA	58904	
(e) Any Other(ESROW ACCOUNT)	1	500	0	0	500	0.0004	0	0	0	0	0.0004	0	0.0000	NA	NA	500	
(e) Any Other(NON RESIDENT INDIANS (NRI))	629	1468851	0	0	1468851	1.2266	0	0	0	0	1.2266	0	0.0000	NA	NA	1468851	
(e) Any Other(NON RESIDENT INDIANS (NRI))	14534	20421387	0	0	20421387	17.0533	0	0	0	0	17.0533	1385204	6.7831	NA	NA	20421387	
(e) Any Other(NON RESIDENT INDIANS (NRI))	14606	48684423	0	0	48684423	40.6550	0	0	0	0	40.6550	1385204	2.8453	NA	NA	48684423	
(e) Any Other(NON RESIDENT INDIANS (NRI))	14534	20421387	0	0	20421387	17.0533	0	0	0	0	17.0533	1385204	6.7831	NA	NA	20421387	
(e) Any Other(NON RESIDENT INDIANS (NRI))	14606	48684423	0	0	48684423	40.6550	0	0	0	0	40.6550	1385204	2.8453	NA	NA	48684423	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %) 500

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note- (1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the Shareholders (i)	PAN (ii)	No. of Share holders (iii)	No. of fully paid up equity Share held (iv)	Partly paid-up equity Share held (v)	Nos. of shares underlying Depository Receipts (vi)	Total no. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total Shareholding, as a % assuming full conversion of convertible securities as a diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class Equity x	Class Others y	Total				No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable)	
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	NA	NA	0
Total Non Promoter- Non Public Shareholding (C)=(1)+(C)2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	NA	NA	0

Note (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.